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27 Feb 04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kowalchik, et al.

Application No: 10/004,090

Filed: October 23, 2001

For: *Data Storage Device*

Examiner: Not yet assigned

Art Unit: 2651

Atty. Docket No. EMR-003.01

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CERTIFICATE OF FIRST CLASS MAILING

Technology Center 2600

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, "Post Office to Addressee", in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, on February 20, 2004.


Steve Pereira

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56 and 1.97

Dear Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants and/or their Attorney in compliance with the requirements of 37 C.F.R. § 1.56. Copies of the documents (References AA-AI) are also being submitted. Applicants respectfully request that the Examiner consider the listed documents and indicate they were considered by making appropriate notations on the attached Form PTO-1449.

In compliance with the requirements of 37 C.F.R. §§ 1.56 and 1.97, Applicants have cited for the Examiner's consideration a co-pending U.S. patent application that is owned at least in part by the assignee of this application and that describes subject matter related to the present invention. The co-pending application is listed herewith in accordance with M.P.E.P. 609 III.D which states: "Applicants may wish to list U.S. patent application numbers on other than Form PTO-1449 or PTO/SB/08A format to avoid the application numbers of pending applications being published on the patent. If a citation is not printed on the patent but has been considered

by the Examiner in accordance with this section, the patented file will reflect that fact as noted in subsection III.C(2) above.”

No copy of the co-pending application has been provided. If the Examiner wishes to have a copy of the co-pending application, the Examiner should contact the Attorney of record.

Our Docket #	Serial #	Date Filed	Title
EMR-004.01	10/001,317	October 23, 2001	Disk Cache Interfacing System and Method

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that the cited documents are material or constitute “prior art.” If the Examiner applies the listed documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute “prior art” under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the claimed subject matter over the listed documents, should one or more of the referenced documents be applied against the claims of the present application.

This Information Disclosure Statement is being filed before a first Office Action on the merits; therefore, no fee is believed to be due in connection with the filing of this statement. However, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 06-1448, Ref. EMR-003.01**.

Respectfully Submitted,

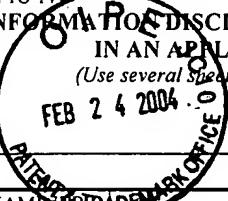


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Form PTO-1440 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i> 		Docket Number (Optional) EMR-003.01		Application Number 10/004,090	
		Applicant Kowalchik, et al..			
		Filing Date October 23, 2001		Group Art Unit 2651	
		U.S. PATENT DOCUMENTS			

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,721,956	02/24/98	Martin et al.	395	872	05/15/95
AB	5,754,888	05/19/98	Yang et al.	395	872	01/18/96
AC	5,787,466	7/28/98	Berliner	711	117	05/01/96
AD	5,805,787	09/08/98	Brant et al.	395	182.04	12/29/95
AE	5,890,207	03/30/99	Sne et al.	711	113	11/27/96
AF	5,911,779	6/15/99	Stallmo et al.	714	6	03/31/97
AG	5,933,853	08/03/99	Takagi	711	159	07/25/97
AH	6,101,576	08/08/00	Kobayashi et al.	711	122	07/09/93
AI	6,389,510	05/14/02	Chen et al.	711	113	04/25/00
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FOREIGN PATENT DOCUMENTS**Technology Center 2600**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLAS S	Translation	
						YES	NO

OTHER DOCUMENTS*(Including Author, Title, Date, Pertinent Pages Etc.)*

EXAMINER	DATE CONSIDERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.